



Evaluation of the effectiveness, communication and stakeholder involvement of the EUSDR

Vienna, 04 April 2019

Objectives of the evaluation I

- ▶ appraise the progress of the strategy in reaching its goals and in enhancing cooperation
- ▶ identify well-functioning processes that originate from the macro-regional processes
- ▶ take stock of the existing knowledge

Objectives of the evaluation II

- ▶ identify obstacles in the implementation of the strategy and develop recommendations how to overcome them
- ▶ identify cross-funding processes and project chains
- ▶ identify potential additional stakeholders
- ▶ develop appropriate conclusions for the future revision of the Action Plan

Time plan

	March		April		May		June	
months	1		2		3		4	
calender week	12	14	16	18	20	22	24	26
Work to be performed								
Preparation of Inception report								
Document analysis								
Survey (written)								
Interviews with PACs/NCs/other stakeholders								
Drafting the final report								
Revision of the final report								
Reports and meetings								
Kick-off meeting								
Draft Inception Report (IR)								
Joint Meeting with ESPON, Vienna: Presentation & discussion of IR (4 April)								
Draft results to DSP (10 May)								
Draft final report (20 May)								
PAC-NC meeting, Bucharest: Presentation of draft final report (23/24 May)								
Comments by SG DANUVAL (deadline: 3 June)								
Final report submitted to EC and key implementers (12 June)								

Methodological Approach

Theory based evaluation:

- 1) Concept of added value
- 2) Theory of cooperation
- 3) Project chains

0: Methodological considerations

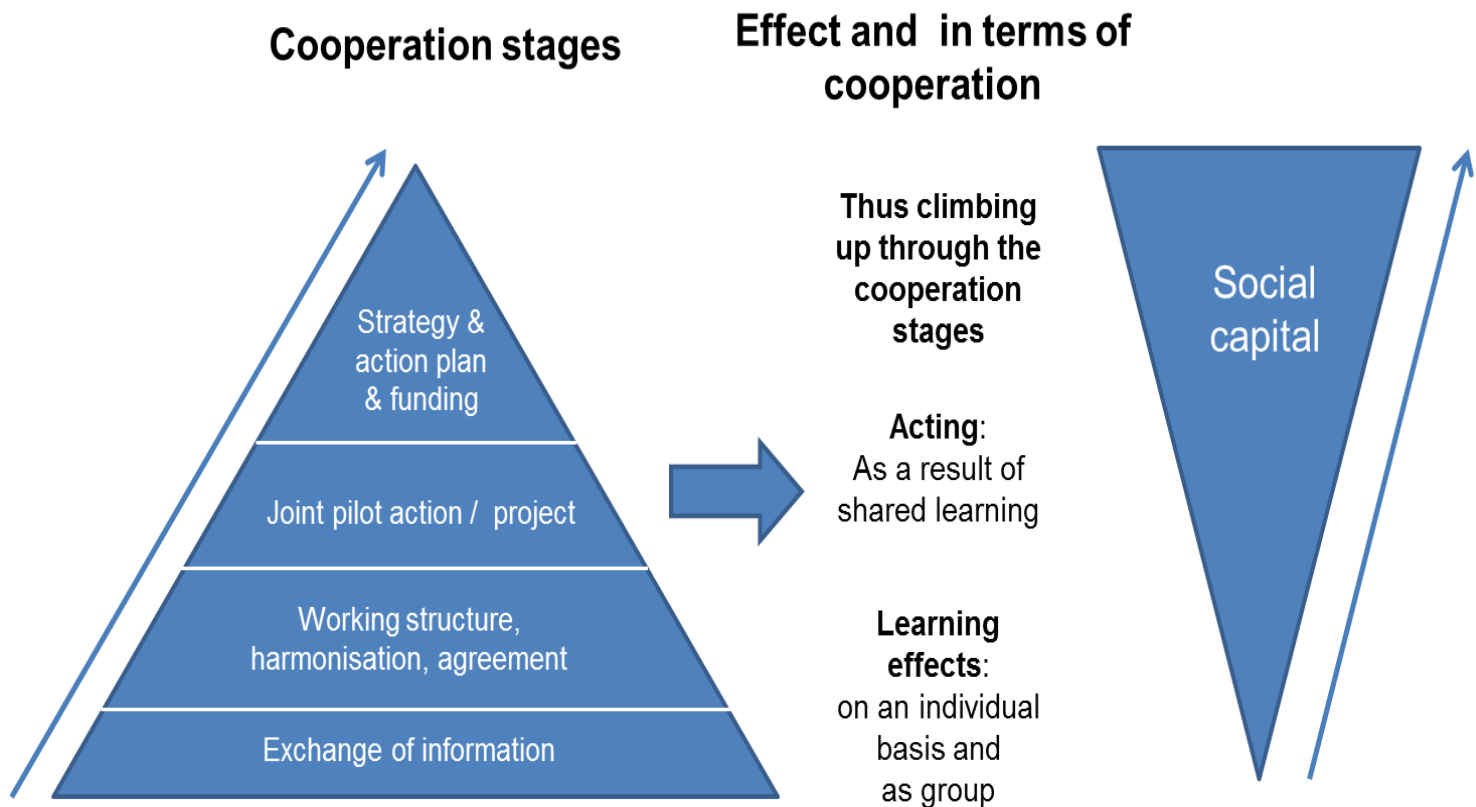
- ▶ Governance dimension needs to be put in framework of **strategic dimension** of EUSDR.
- ▶ Evaluation goes hand in hand with EC's consultation procedure for **revision of Action Plan** & development of **new monitoring tool**.
- ▶ Experiences, perceptions & perspectives of **stakeholders** are vital for assessment of EUSDR achievements & future Action Plan.

1: Concept of added value of MRS

- ▶ Concept of added value as framework for assessing **achievements, bottlenecks and obstacles**.
- ▶ Starting point is the purpose of MRS: to provide an integrated framework to address common challenges through cooperation.

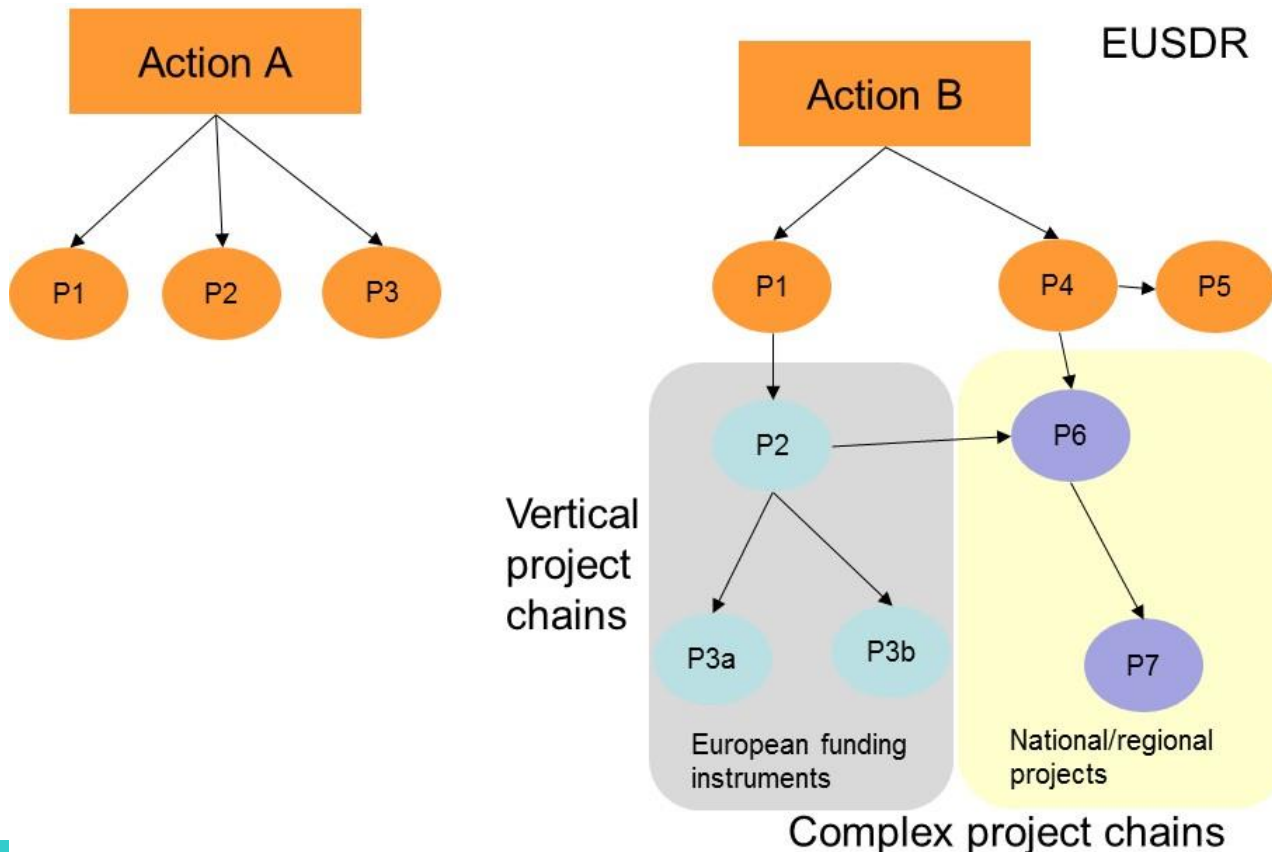
2: Theory of cooperation

- ▶ Cooperation needs to be built, **different stages** are necessary before achieving strategic cooperation.



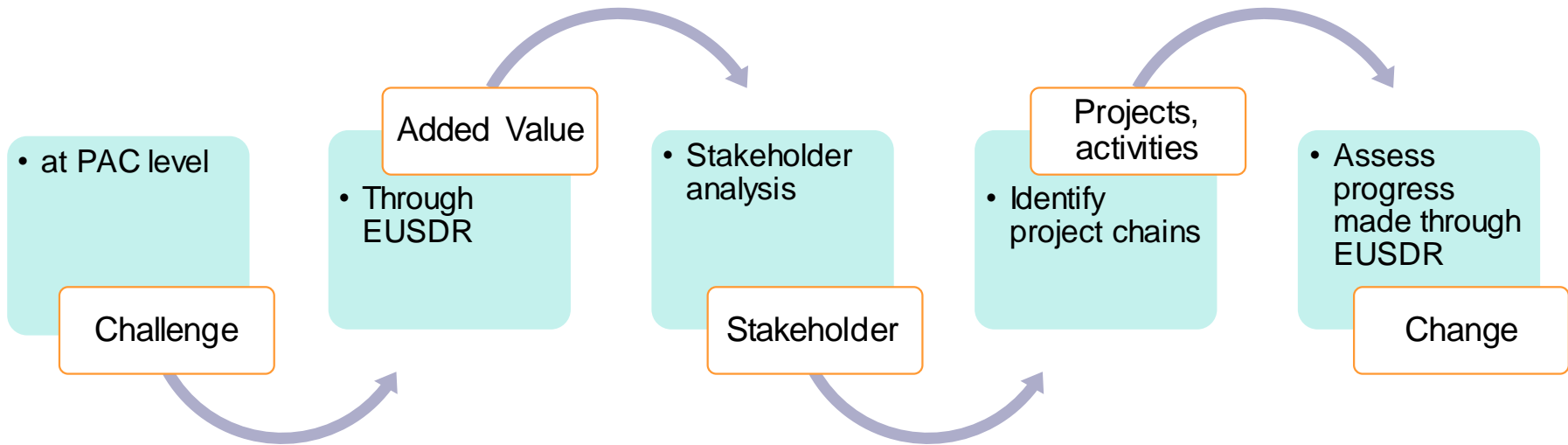
3: Project chains & project-to-policy-loop

- ▶ **Project chains:** mechanism for developing macro-regional processes. Linkage between projects.



3: Project chains & project-to-policy-loop

- ▶ **Project-to-policy-loop:** is a process where a link between macro-regional processes and a policy change is initiated.



Analysis of evaluation questions

Draft Evaluation Framework I

Features of Added Value	Measurement
Strategic dimension	
Achieving progress of the strategy over time	Changes in political agenda (including international policies in relevant sectors)
	Update of visions and strategies
	Integration in national politics
	Integration in national policy schemes
Involvement of the key stakeholders	Participation at all levels – (at EUSDR and MS level, at cross-sectoral level, multilevel dimension)

Draft Evaluation Framework II

Features of Added Value	Measurement
Governance dimension (operational level)	
Cooperation structures	Type of cooperation structures
	Role of Steering groups
Workflows and processes to ensure collaboration between different actors	Efficiency of workflows (efforts needed to ensure the workflow/process in relation to the outcome, resp. attributed value to the outcome)
Communication flows	Efficiency of communication
Stakeholder involvement at operational level (PAC)	Achieving an appropriate stakeholder involvement
Linking the political with the programme and project level	Strategic projects being implemented
Attracting funding sources	Funding sources and funds made available for implementing EUSDR projects
Outreach activities and communities	Activities aiming at communication within Member States and news coverage
Conclusions	

Methods – Desk research

- ▶ Screening of range of sources including existing reports and information on websites from PACs, NCs, EC, and studies.
- ▶ Support from academic expert by summarising state of the art of academic literature and reflection of wider political-economic developments in EUSDR.

Methods - Questionnaire

- ▶ Conducted via “survey monkey” addressed to following target groups:
 - PACs
 - NCs
 - EC representatives involved in EUSDR
 - authorities working in fund management (e.g. Managing Authorities)
 - other relevant stakeholders (e.g. universities)
- ▶ Questionnaire will comprise general parts and specific parts only for specific stakeholders

Methods - Interviews

- ▶ Underpin results from other evaluation instruments, fill gaps and go in depth.
- ▶ Selection of interviewees based on online survey and supporting interviews already at earlier stage.
- ▶ Interviewee list will be agreed together with the contracting authority in the inception phase.

Thank you for your attention!

Jürgen Pucher, pucher@metis-vienna.eu
Nicole Hauder, hauder@metis-vienna.eu